



# Ho-Chunk Nation Ethics Review Board

## Complaint Report

**Please send completed forms by certified mail to:**

Ho-Chunk Nation Ethics Review Board  
1935 W. County Road B2, Suite 460  
St. Paul, MN 55113

Any person may file a Complaint Report if he or she has information that a Public Official<sup>1</sup> of the Ho-Chunk Nation has violated the Nation’s Code of Ethics Act, 2 HCC § 1. It is not enough to merely allege that a Public Official has acted improperly. A Complaint Report must contain credible information supporting the allegation(s) that one or more of the Standards of Conduct contained in the Code of Ethics Act have been violated. The Complaint Report must be sent by certified mail to the ERB. Failure to send the Complaint Report by certified mail will result in the Complaint Report being returned to Complainant. **Information provided in this Complaint Form is confidential, will be used by the Ethics Review Board for the purposes of investigating the allegations contained herein, and will remain on file with the Ethics Review Board.**

Your Name (Complainant): \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Individual you believe violated Ethics Code (Respondent): \_\_\_\_\_

Public position held by Respondent: \_\_\_\_\_

Approximate date of alleged violation(s): \_\_\_\_\_

**Please provide a description, with specificity, of the alleged conduct that supports this Complaint Report. Also provide the names of potential witnesses and documents supporting your Complaint Report:**

\_\_\_\_\_  
\_\_\_\_\_

*(Please attach additional sheets if needed.)*

<sup>1</sup> “Public Official” means, but is not limited to, any person who holds elective office or who is a candidate for elective office such as President, Vice-President, Legislator, Justice; appointed professionals such as Judges, Departmental Executive Directors, Executive Board members and alternates, Gaming Commissioners, Election Board members and alternates; various Board members and alternates; persons selected, nominated and/or confirmed by their respective District and/or the Nation, persons employed in a position for which a license is required for employment, i.e. attorneys, doctors and nurses; and other positions in the Nation as determined by the Ethics Review Board.

**I believe the Public Official violated one of the following, please check all boxes you believe apply (each violation below is listed in the Code Ethics):**

- Violated fiduciary duty to the Nation (2 HCC § 6.a.)
- Has a personal conflict of interest with obligations to the Nation (2 HCC § 6.b.)
- Violated his or her duty of loyalty to the Nation (2 HCC § 6.c.)
- Violated the laws, customs and traditions of the Nation, and/or was not patient, dignified or courteous to constituents, co-officials, or others in his or her official capacity (2 HCC § 6.d.)
- He or she did not put forth an honest effort in performance of his or her duties (2 HCC § 6.e.)
- Public Official's actions constitute or contributed to unauthorized binding of the Nation to an obligation (2 HCC § 6.f.)
- Used his or her position of office to obtain financial gain or something of substantial value for his or her private benefit or his or her immediate family, or for an organization that he or she is associated (2 HCC § 6.g.)
- Utilized the Nation's resources and property for unauthorized activities (2 HCC § 6.h.)
- Threatened or intimidated an employee of the Nation in reprisal for the employee acting within the scope of the employee's official duties and/or authority (2 HCC § 6.i.)
- Gave preferential treatment to a private person or organization; and/or received preferential treatment from another (2 HCC § 6.j.)
- Solicited or accepted something of value from another that could reasonably be considered a reward for an official action or inaction (2 HCC § 6.k.)

- Intentionally used or disclosed information gained in the course of or by reason of his or her position or activities in any way that resulted in the receipt of anything of value for himself or herself, for his or her immediate family, or for any other person, and the information used is not in the public domain (2 HCC § 6.1.)
- Engaged in financial transactions using non-public, Ho-Chunk government information or allowed the improper use of non-public, Ho-Chunk government information to further a private interest (2 HCC § 6.m.)
- Used or attempted to use his or her position in the Ho-Chunk Nation to influence or gain unlawful benefits, advantages or privileges personally or for his or her immediate family (2 HCC § 6.n.)
- Violated administrative responsibilities to the Nation (2 HCC § 6.o.)
- Violated rules against nepotism (2 HCC § 7)

I, \_\_\_\_\_, the Complainant herein, affirm that the allegation(s) contained herein are true, except so far as they are stated to be based upon information provided to me by others, and to the extent they are based upon such information, I believe them to be true.

This Ethics Complaint Report was executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by:

\_\_\_\_\_  
Signature of Complainant

\_\_\_\_\_  
Witness to Complainant Signature

\_\_\_\_\_  
Print name of Complainant

\_\_\_\_\_  
Print name of Witness

*Any person who files a Complaint alleging a violation of the Code of Ethics Act knowing that material information provided therein is not true or that information provided therein was made in reckless disregard for the truth may be subject to a fine of up to \$500 as well as the reasonable costs incurred by the Ethics Review Board in investigating the Complaint and the reasonable costs incurred by the Respondent in responding to the Complaint as provided in the Code of Ethics Act.*